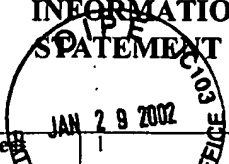


FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/010,767	ATTY. DOCKET NO.: E0358/7003
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> 		FILING DATE: December 4, 2001	
		APPLICANT: Venkatesan Srinivasan	
		GROUP ART UNIT: <del>2164</del>	EXAMINER:
Sheet 1	of 2		

#### U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		
JG		4,598,367*		DeFrancesco et al.	07-01-1986
		4,736,294*		Gill et al.	04-05-1988
		4,736,320*		Bristol	04-05-1988
		4,914,587*		Clouse	04-03-1990
		5,202,826*		McCarthy	04-13-1993
		5,212,789*		Rago	05-18-1993
		5,231,571*		D'Agostino	07-27-1993
		5,239,462*		Jones et al.	08-24-1993

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Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
JG		JP	11-96247*		Seki et al.	04-9-1999	Y

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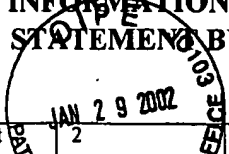
Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

EXAMINER /Jocelyn Greimel/	DATE CONSIDERED 08/17/2006
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\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/684,208, filed October 6, 2000, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/010,767	ATTY. DOCKET NO.: E0358/7003
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> 		FILING DATE: December 4, 2001	
		APPLICANT: Venkatesan Srinivasan	
		GROUP ART UNIT: <del>2164</del>	EXAMINER:
Sheet 2 of 2			

**U.S. PATENT DOCUMENTS**

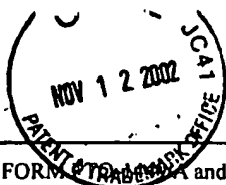
Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		
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		5,371,895*		Bristol	12-06-1994
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		5,966,699*		Zandi	10-12-1999
		5,966,700*		Gould et al.	10-12-1999
		5,970,464*		Apte et al.	10-19-1999
		5,970,478*		Walker et al.	10-19-1999
		5,974,119*		Utsumi	10-26-1999
		6,119,093		Walker et al.	09-12-2000

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<b>FORM PTO/AIA 101 and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 10/010,767	ATTY. DOCKET NO.: E0358/7003
		FILING DATE: December 4, 2001	CONFIRMATION NO.: 3017
		APPLICANT: Venkatesan Srinivasan	
		GROUP ART UNIT: 2164	EXAMINER: Unknown
Sheet	1	of	1

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JG		US2001/0054022	A1	Louie et al.	12-20-2001
		US2002/00400339	A1	Dhar et al.	04-04-2002
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Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			

**OTHER ART — NON PATENT LITERATURE DOCUMENTS**

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
JG		International Search Report for PCT/US01/47667	
		Gandy, T., "Bankers Trust has joined forces with IBM to develop new software to reduce risks in syndicated lending>" The Banker, May 1996, Vol. 146, No. 843, pp. 83-85	
		"Tech bytes: FleetBoston is Using Syndication System" American Banker, Dec. 3, 1999, Vol. 164, No. 231, p. 13	
		Vigliotti, L., "Bringing Efficiency to the Syndication Marketplace", The Secured Lender Nov./Dec. 2000, Vol. 56, No. 6, pp. 128-134	

EXAMINER /Jocelyn Greimel/	DATE CONSIDERED 08/17/2006
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